ESTATE PLANNING QUESTIONNAIRE

A.	**General Information**	You	Spouse
1.	Name		
2.	Other name known by, if any		
3.	Home address		
4.	Telephone number(s), home/cell		
5.	Occupation		
6.	Date of birth		
7.	Citizen of U.S.?		
8.	Length of residence in state		
9.	Other states or countries previously resided in, and dates of residence		
10.	Any pre- or post- nuptial agreements? (include copy)		
11.	Prior marriages? (include copy of divorce decree and any property settlement agreement)		
12.	If widowed, include copy of Form US706 (estate tax return) for predeceased spouse's estate, if filed		
B.	**Family Information**		
1.	Names and birthdates of all children. Describe any special needs		
2.	Names and birthdates of all grandchildren		
3.	Parent names and estimated value of property		
4.	Any special circumstances or family relationships that may affect plan of disposition of assets		
C.	**Advisors**		
1.	Other lawyers		

2.	Accountant		
3.	Stockbroker		
4.	Investment advisor		
5.	Insurance agent		
6.	Other (identify)		
D.	**Financial Assets** (indicate if joint ownership and name of other owners if not spouse)		
1.	Savings accounts		
2.	Certificates of deposit		
3.	Money-market accounts		
4.	Checking accounts		
5.	Stocks		
6.	Stock options		
7.	Bonds (including E, EE)		
8.	Mutual funds		
9.	Brokerage accounts		
10.	Annuities (current value, ownership and beneficiary—primary and secondary)		
11.	Mortgages and leases held on property of others (describe property and terms)		
12.	Interest in publically traded partnerships (name, ownership % and original investment)		
13.	Interest in trusts and estates		
E.	**Personal Property**		
1.	Jewelry		
2.	Coins, stamp and other collections		
3.	Antiques and works of art		
4.	Furniture and other household effects		

5.	Automobiles		
6.	Recreational property		
7.	Other		
F.	**Residences** (indicate if joint ownership and name of other owners if not spouse)		
1.	Primary Residence Address Mortgages		
2.	Additional Address Mortgages		
G.	**Other Real Estate** (indicate if joint ownership and name of other owners if not spouse)		
	Type Location Mortgages		
H.	**Businesses**		
	Name Address --Form of ownership (corp., partnership, LLC or LLP; Sub S?) --Approximate value --% of ownership --Original investment Include copy of any buy-sell agreement relating to transfer of interests during lifetime or at death, employment agreements and financial statements		
I.	**Life Insurance**		
1.	Name of company and policy number		
2.	Type of policy (term, whole life, etc.)		
3.	Insured		
4.	Owner		
5.	Primary beneficiary		

6.	Contingent beneficiary		
7.	Face value		
8.	Cash surrender value		
9.	Amount of outstanding loan, if any		
10.	Annual premium		
11.	Include additional pages for each additional policy		
J.	**Retirement Plans**		
	Pension		
1.	Present value		
2.	Your contributions		
3.	Vested %		
4.	Insurance held in plan face value		
5.	Insurance held in plan surrender value		
6.	Beneficiary designation (include copy)		
7.	Include copy of plan description if available, together with most recent report of your interest in plan		
	Profit-Sharing or 401(k) Plan		
1.	Present value		
2.	Your contributions		
3.	Vested %		
4.	Insurance held in plan face value		
5.	Beneficiary designation (include copy)		
6.	Include copy of plan description, if available, together with most recent report of your interest in plan		
	Traditional IRAs, Simple IRAs and SEP IRAs (provide requested info for each)		
1.	Present value		

2.	Beneficiary designation (include copy)		
3.	Where held (name of bank, brokerage house, or money mgmt. firm)		
4.	Type of account (custody or trust)		
5.	Type of investments (CD, mutual fund)		
6.	Taxable amount and the non-taxable basis (include copy of Form 8606, if filed, from last year's income tax return)		
7.	Inherited IRA?		
8.	Receiving distributions? If so, life expectancy recalculated?		
	Roth IRAs		
1.	Present value		
2.	Beneficiary designation (include copy)		
3.	Where held (name of bank, brokerage house, or money mgmt. firm)		
4.	Type of account (custody or trust)		
5.	Type of investments (CD, mutual fund)		
	Other Retirement Plans?		
	Deferred compensation (include plan copy)		
	Any additional:		
K.	**Liabilities**		
1.	Home mortgage / balance due		
2.	Bank loans		
3.	Contingent liabilities (guaranty, indemnity agreements)		
4.	Other debts or obligations		

L.	**Approximate Annual Income**		
	Include from all sources, e.g., salary, fees, commissions, interest, dividends, pension)		

M. Other:

1. Include copies of any of the following currently in your estate plan:

   a. Wills;

   b. Power of Attorney for financial decisions;

   c. "Living Will" and Health Care Power of Attorney;

   d. Trust agreements in which you or a member of your family has an interest, whether as beneficiary, fiduciary, or holder of power of appointment; and

   e. Prior gift tax returns.

2. Describe any inheritance you or your spouse expect to receive.

3. Explain any special estate planning objectives?